



Intestinal Tumorigenesis Initiated by Dedifferentiation and Acquisition of Stem-Cell-like Properties

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SUMMARY

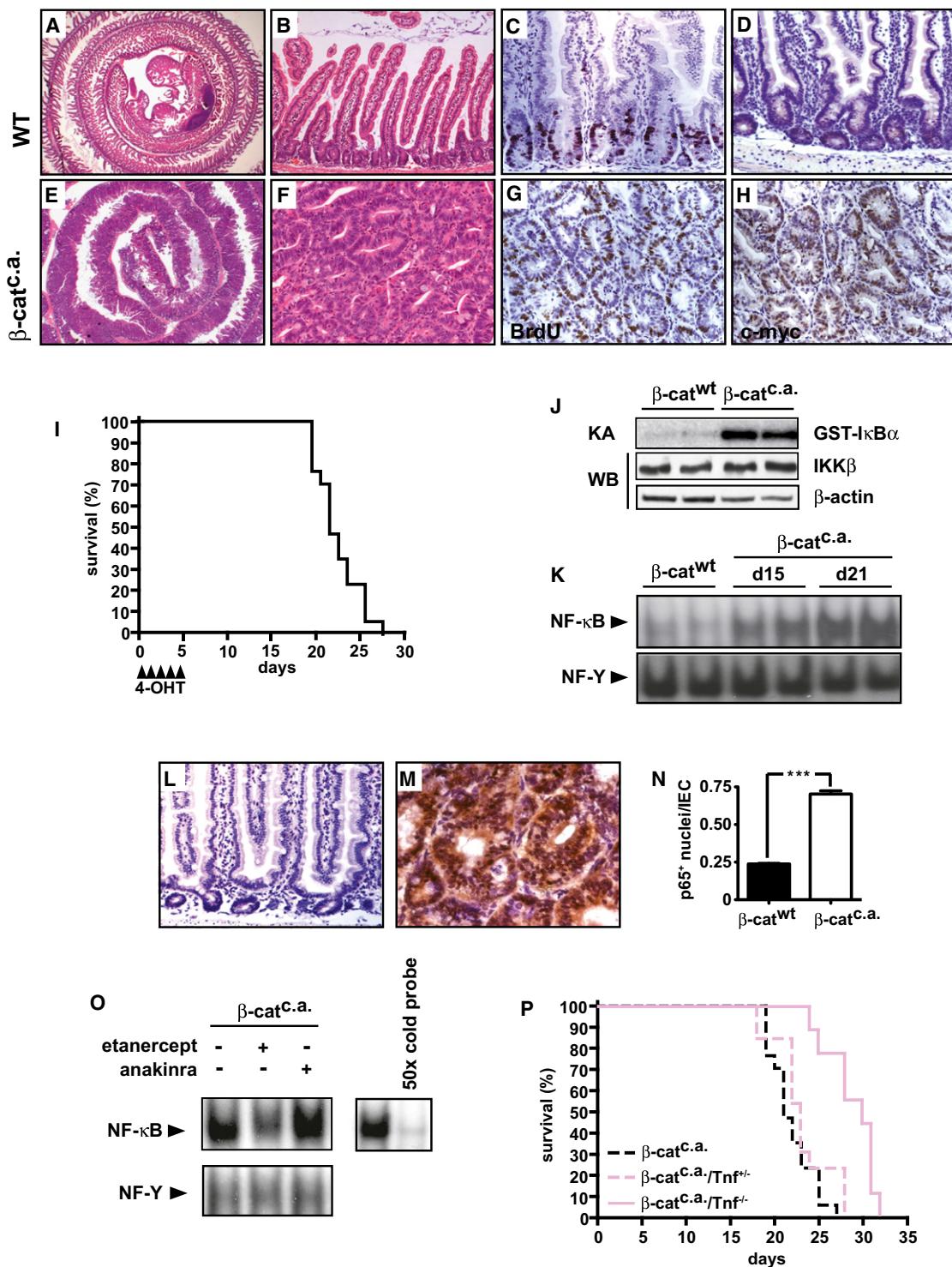
Cell-type plasticity within a tumor has recently been suggested to cause a bidirectional conversion between tumor-initiating stem cells and nonstem cells triggered by an inflammatory stroma. NF- κ B represents a key transcription factor within the inflammatory tumor microenvironment. However, NF- κ B's function in tumor-initiating cells has not been examined yet. Using a genetic model of intestinal epithelial cell (IEC)-restricted constitutive Wnt-activation, which comprises the most common event in the initiation of colon cancer, we demonstrate that NF- κ B modulates Wnt signaling and show that IEC-specific ablation of RelA/p65 retards crypt stem cell expansion. In contrast, elevated NF- κ B signaling enhances Wnt activation and induces dedifferentiation of nonstem cells that acquire tumor-initiating capacity. Thus, our data support the concept of bidirectional conversion and highlight the importance of inflammatory signaling for dedifferentiation and generation of tumor-initiating cells *in vivo*.

INTRODUCTION

The transition of an intestinal epithelial cell (IEC) into a fully transformed metastatic intestinal cancer cell follows a series of activating and inactivating mutations in various oncogenes and

tumor suppressors, respectively (Fearon, 2011). The initiating event of intestinal carcinogenesis is most commonly caused by activating mutations in the Wnt-pathway (i.e., in *APC* or *CTNNB1*), that lead to stabilization of β -catenin and subsequent constitutive transcription by a β -catenin/Tcf complex (Bienz and Clevers, 2000). This triggers expansion and transformation of the stem cell compartment and leads subsequently to the development of adenomatous polyps (van de Wetering et al., 2002). During the course of tumorigenesis, additional mutations in other oncogenes and tumor suppressors such as *KRAS* and *TP53* are usually acquired (Fearon, 2011). In the untransformed intestine, two types of multipotent stem cells have been identified: the first comprises a population of rapidly cycling cells at the crypt base expressing the Wnt-target gene leucine-rich-repeat-containing G protein coupled receptor 5 (Lgr5) (Barker et al., 2007). The second pool consists of quiescent *Bmi1*-expressing cells that can mostly be found above the crypt base (Sangiorgi and Capecchi, 2008) and that have the capacity to regenerate Lgr5 $^{+}$ cells upon tissue injury (Tian et al., 2011). Because of the frequent observation that very early adenomatous polyps are found at the top of colonic crypts without any contact to the stem cell compartment (Cole and McKalen, 1963) the so-called "top-down model of adenoma morphogenesis" has been suggested (Shih et al., 2001). However, recent genetic evidence provided support for the "bottom-up histogenesis" (Preston et al., 2003) when it was shown that Lgr5 $^{+}$ or *Bmi1* $^{+}$ stem cells can act as the cells of origin of intestinal cancer in mice (Barker et al., 2009; Sangiorgi and Capecchi, 2008).

Various forms of chronic inflammation increase the risk of several common cancers (Grivennikov et al., 2010). Moreover,



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long-term administration of nonsteroidal anti-inflammatory drugs (NSAIDs) such as aspirin can be tumor preventive and significantly reduce incidence of many solid tumor entities, including colorectal cancer (Rothwell et al., 2011), suggesting a specific effect of low-grade inflammation in this context. However, the exact mechanisms by which NSAIDs can provide chemoprevention remain to be defined. The transcription factor NF- κ B, a master regulator of cell survival, inflammation, and immunity, has been shown to comprise a key link between inflammation and cancer (Karin and Greten, 2005). First, genetic evidence came from a mouse model of colitis-associated cancer, where I κ B-kinase (IKK) β -dependent NF- κ B activity in IEC promoted survival of initiated cells, whereas in myeloid cells it controlled the transcription of genes encoding proinflammatory cytokines that can stimulate proliferation in a paracrine manner (Greten et al., 2004). Additional proof for NF- κ B's direct and indirect effects on tumor promotion and progression has originated from several animal models of hepatocellular carcinoma, gastric cancer, and lung cancer (Bollrath and Greten, 2009). The canonical NF- κ B activation pathway is triggered by a variety of stimuli including TNF- α , IL-1 β , and pathogen-associated molecular patterns (PAMPs), which upon binding to their respective receptors activate the IKK complex. As a consequence, IKK phosphorylates NF- κ B-bound I κ Bs and targets them for ubiquitin-dependent degradation, thus allowing liberated NF- κ B dimers to enter the nucleus. In case of the canonical NF- κ B activation, this mainly depends on the IKK γ and IKK β subunits of the IKK complex (Bollrath and Greten, 2009).

Although there is substantial evidence for a role of NF- κ B in tumor promotion and progression, so far its contribution to tumor initiation and epithelial tumor stem cell function has not been addressed. Here, we demonstrate that NF- κ B can enhance Wnt-signaling leading to the dedifferentiation of epithelial non-stem cells into tumor-initiating cells.

RESULTS

Constitutive Activation of β -Catenin in IEC Results in Rapid Expansion of Intestinal Crypt Stem Cells and TNF- α -Dependent NF- κ B Activation

To directly examine Wnt-dependent tumor initiation, we used a mouse model with a tamoxifen-inducible and conditional stable expression of β -catenin in IEC (*villin*-creER^{T2}/*Ctnnb*^{loxEx3/WT}, termed β -cat^{c.a.}). Upon oral tamoxifen gavage, Cre recombination was induced in all intestinal epithelial compartments including stem cells. This led to excision of exon 3 of *Ctnnb*, thereby resulting in a stabilized protein, which fails to undergo GSK3 β -mediated degradation (Harada et al., 1999). As a consequence, β -catenin became constitutively active in IEC, which resulted in an almost complete loss of differentiated, absorptive enterocytes and a massive expansion of highly proliferative crypt stem cells that expressed high levels of the Wnt target *c-myc*

(Figures 1A–1H). Following tamoxifen administration β -cat^{c.a.} mice showed signs of severe weight loss and succumbed to the intestinal transformation within 27 days (Figure 1I). Notably, I κ B kinase activity (Figure 1J) as well as NF- κ B-binding activity was markedly elevated in isolated IEC (Figure 1K), whereas immunohistochemical analysis demonstrated nuclear accumulation of RelA/p65 in expanded crypt cells of β -cat^{c.a.} mice (Figures 1L–1N). TNF α and IL-1 β are considered two of the most common upstream activators of NF- κ B signaling in inflammatory diseases and tumors (Karin and Greten, 2005). To examine whether these cytokines were responsible for the observed NF- κ B activation in β -cat^{c.a.} mice, we pharmacologically inhibited TNF α and IL-1 β by using etanercept and anakinra, respectively. Although inhibition of TNF α markedly reduced NF- κ B binding, blockade of IL-1 β had no effect (Figure 1O) and loss of *Tnf* significantly prolonged survival of β -cat^{c.a.} mice (median survival 23 days in β -cat^{c.a.}/*Tnf*^{+/–} mice versus 30 days in β -cat^{c.a.}/*Tnf*^{–/–} mice; Figure 1P). Collectively, these results indicate that extrinsic factors, such as TNF α , that act in a paracrine and/or autocrine manner induce classical NF- κ B activation in β -cat^{c.a.} IEC.

Inhibition of NF- κ B in IEC Prolongs Survival and Delays Crypt Transformation in β -cat^{c.a.} Mice

To directly examine whether NF- κ B activity in IEC was causally involved in the expansion of intestinal crypt cells and decreased survival, we crossed floxed *Rela* animals to β -cat^{c.a.} mice. Indeed, loss of RelA/p65 function specifically in IEC significantly prolonged survival of β -cat^{c.a.} mice by 50% (median survival 22 days in β -cat^{c.a.}/*Rela*^{lox/WT} mice versus 33 days in β -cat^{c.a.}/*Rela*^{ΔIEC} mice; Figure 2A). This effect was even more pronounced than whole-body deletion of *Tnf*, indicating that apart from TNF α also other upstream activators can signal to NF- κ B in β -cat^{c.a.} mice. Because β -cat^{c.a.}/*Rela*^{ΔIEC} compound mutants were histologically comparable to single β -cat^{c.a.} mice in terms of proliferation and apoptosis index at their respective time of death (data not shown), we examined mice of both genotypes 15 days after the first tamoxifen treatment, 5 days before the death of the first β -cat^{c.a.} animal.

Also at this time point, no difference in the number of apoptotic cells was detected (data not shown); however, expansion of proliferative crypt stem cells was reduced in β -cat^{c.a.}/*Rela*^{ΔIEC} mice when compared to β -cat^{c.a.} mutants (Figures 2B–2E) and alkaline phosphatase staining, labeling differentiated, and absorptive enterocytes confirmed an increased villus-to-crypt cell ratio in β -cat^{c.a.}/*Rela*^{ΔIEC} mice (Figures 2F and 2G). Consistently, with a more differentiated phenotype, RelA-deficient β -cat^{c.a.} mice retained a significantly higher expression of mRNAs encoding sucrase-isomaltase, MUC-2, and synaptophysin, which are markers for enterocytes, goblet cells, and enteroendocrine cells, respectively (Figure 2H). Furthermore, comparative gene expression analysis and gene set enrichment

(N) Quantification of nuclear RelA/p65-expressing IEC. Data are mean \pm SE; n \geq 3; **p < 0.0001 by t test.

(O) NF- κ B activity can be blocked by administration of etanercept but not anakinra. Specificity of NF- κ B complex was confirmed by competition assay with 50-fold unlabeled oligonucleotide.

(P) Kaplan-Meier survival curve of β -cat^{c.a.}/*Tnf*^{–/–} compound mutant mice (pink solid line; n = 9) compared to β -cat^{c.a.}/*Tnf*^{+/–} mice (pink dashed line; n = 13; p < 0.0001 by log rank test). Note that survival of β -cat^{c.a.}/*Tnf*^{+/–} mice was comparable to β -cat^{c.a.} mice (dashed black line).

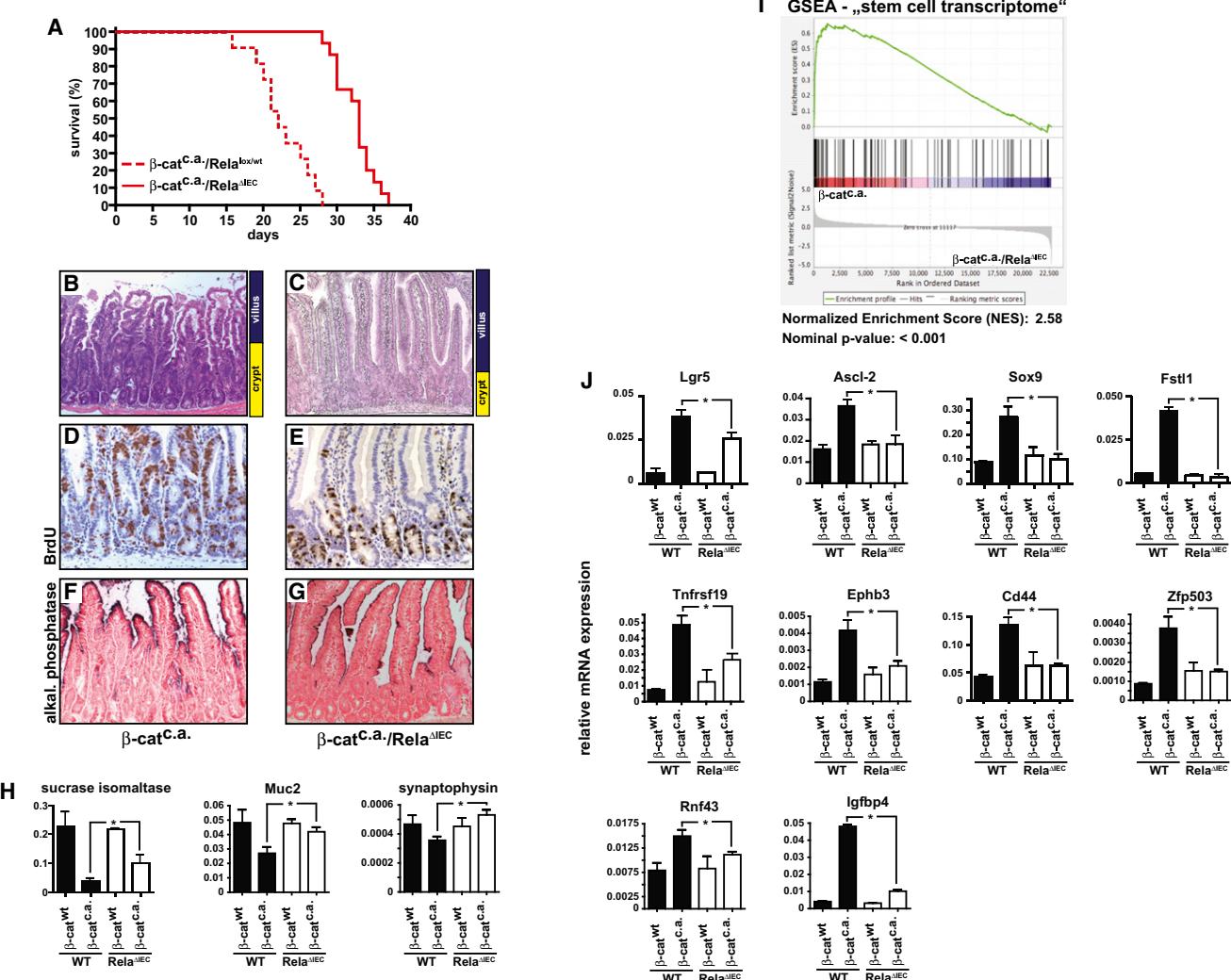


Figure 2. Loss of Rela in β -cat^{c.a.} IEC Prolongs Survival and Inhibits Stem Cell Expansion

(A) Kaplan-Meier survival curve of heterozygous floxed *Rela* mice (β -cat^{c.a.}/Rela^{lox/WT}; $n = 12$) and β -cat^{c.a.}/Rela^{ΔIEC} mice ($n = 13$), $p = 0.0001$ by log rank test. (B-E) Representative H&E stained sections (B and C) and immunohistochemical analysis (D and E) of β -cat^{c.a.} and β -cat^{c.a.}/Rela^{ΔIEC} mice on day 15 of the model showing reduced crypt expansion as well as decreased expression of BrdU in β -cat^{c.a.}/Rela^{ΔIEC} mice (C and E) compared to β -cat^{c.a.} mice (B and D; proliferation index: 0.31 ± 0.016 in β -cat^{c.a.} mice versus 0.13 ± 0.006 in β -cat^{c.a.}/Rela^{ΔIEC} mice; data are mean \pm SE; $n = 3$ of each genotype; $p < 0.0001$). (F and G) Alkaline phosphatase staining of small intestinal sections from β -cat^{c.a.} and β -cat^{c.a.}/Rela^{ΔIEC} mice on day 15 of the model. (H) Relative mRNA expression of differentiation markers in IEC of WT and β -cat^{c.a.}, as well as Rela^{ΔIEC} and β -cat^{c.a.}/Rela^{ΔIEC} mice on day 15. Data are mean \pm SE; $n \geq 3$; * $p < 0.05$ by t test. (I) GSEA analysis comparing β -cat^{c.a.} and β -cat^{c.a.}/Rela^{ΔIEC} probe sets with "stem cell transcripts." (J) Relative mRNA expression of intestinal stem cell markers in IEC of WT and β -cat^{c.a.}, as well as Rela^{ΔIEC} and β -cat^{c.a.}/Rela^{ΔIEC} mice on day 15. Data are mean \pm SE; $n \geq 3$; * $p < 0.05$ by t test.

analysis (GSEA) on RNA samples isolated from IEC of wild-type, Rela^{ΔIEC}, β -cat^{c.a.}, and β -cat^{c.a.}/Rela^{ΔIEC} mice 15 days after tamoxifen administration revealed a marked downregulation of a large number of Wnt targets in IEC of β -cat^{c.a.}/Rela^{ΔIEC} mice recently identified as components of an "intestinal stem cell transcriptome" (van der Flier et al., 2009) compared to β -cat^{c.a.} (normalized enrichment score 2.58, $p < 0.001$; Figure 2I). Differential expression of several of these stem cell genes, such as *Lgr5*, *Ascl2*, *Tnfrsf19*, *Fstl1*, *Ephb3*, *Cd44*, *Rnf43*, *Igfbp4*, *Zfp503*, and *Sox9* was validated by quantitative real-time PCR

(Figure 2J). Collectively, these data suggested that NF- κ B could affect the development of a crypt progenitor phenotype and the initiation of adenomatous cell transformation through the regulation of Wnt-dependent intestinal stem cell gene expression.

NF- κ B Directly Interacts with β -Catenin and Modulates β -Catenin Binding Activity

β -catenin can physically interact with RelA/p50 dimers in various human cancer cell lines (Deng et al., 2002). In light of the profound differential regulation of the Wnt-dependent stem cell

signature in RelA/p65-deficient $\beta\text{-cat}^{\text{c.a.}}$ mice, we tested whether NF- κ B could possibly complex with β -catenin in primary IEC as well and whether this interaction might affect β -catenin's binding to the Tcf/Lef consensus motif. Indeed, when we pulled down endogenous β -catenin from IEC of wild-type and $\beta\text{-cat}^{\text{c.a.}}$ mice, RelA/p65 was weakly bound to the immunoprecipitated protein from wild-type IEC, but this interaction was strongly enhanced upon β -catenin stabilization (Figure 3A). To assess β -catenin DNA-binding activity in IEC, we performed DNA affinity precipitation assays (DAPA) by using a biotinylated Tcf/Lef consensus sequence to precipitate proteins that bind specifically the Tcf/Lef motif. Immunoblotting of the precipitates confirmed that stabilization of mutant β -catenin strongly induced its binding to DNA, which, however, was greatly diminished in the absence of RelA/p65 and absent when a mutant control oligonucleotide was used (Figure 3B). Moreover, a direct association of RelA/p65 with the promoters of these Wnt-regulated stem cell genes was confirmed by quantitative chromatin immunoprecipitation (ChIP) assay (Figure 3C). Association of β -catenin and RelA/p65 at these promoter regions was confirmed by Re-ChIP analysis (Figure 3D). To rule out that changes in DNA binding were caused by the skewed crypt-villus ratio in mice of different genotypes, we confirmed that TNF α could increase binding of β -catenin to the Tcf/Lef motif in 293 cells that had been transfected with a S33Y mutant of β -catenin along with wild-type Tcf4 (Figure 3E). Furthermore, a constitutively active form of IKK β (IKK β^{EE}) also dose dependently induced interaction of β -catenin and Tcf-4, β -catenin and RelA/p65, and β -catenin and CREB-binding protein (CBP), a common coactivator of both NF- κ B and β -catenin (Figure 3F) suggesting that NF- κ B could modify Wnt-signaling through recruitment of CBP. Indeed, siRNA mediated CBP knockdown confirmed that β -catenin/p65 interaction and TNF α -induced increase in Wnt-reporter activity was dependent on the presence of this coactivator (Figures 3G and 3H).

Loss of *Ikba* Accelerates Crypt Transformation and Suggests Dedifferentiation of IEC in $\beta\text{-cat}^{\text{c.a.}}$ Mice

To examine whether enhanced NF- κ B activation could conversely promote crypt stem cell expansion, we crossed $\beta\text{-cat}^{\text{c.a.}}$ mice to floxed *Ikba* mice (Rupec et al., 2005), enabling IEC-specific constitutive NF- κ B activity along with persistent β -catenin/Tcf4 signaling in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ compound mutants. Expectedly, deletion of $\text{IkB}\alpha$ enhanced NF- κ B binding in IEC (Figure 4A) and accelerated IEC transformation, leading to a significantly shortened survival of $\beta\text{-cat}^{\text{c.a.}}$ mice by 32% (median survival was 22 days in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\text{lox/WT}}$ versus 15 days in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ mice; Figure 4B). Pronounced NF- κ B activation increased β -catenin binding and recruitment of RelA/p65 to the Tcf/Lef consensus site and enhanced interaction of β -catenin with CBP (Figure 4C) further demonstrating that NF- κ B can recruit CBP to interact with β -catenin thus stimulating Wnt-dependent transcription. Apart from a massive accumulation of highly proliferative, crypt stem cells expressing *c-myc* (data not shown), $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ double mutants frequently displayed aberrant foci along the villus epithelium resembling crypt structures (Figure 4D). These foci were actively proliferating and expressed nuclear β -catenin as well as the crypt marker *c-myc*

(Figures 4E–4G) and could be detected within 24 hr after tamoxifen administration (Figure S1 available online). Furthermore, *in situ* proximity ligation assay confirmed direct interaction of RelA/p65 and β -catenin in these cells (Figure 4H). Previous reports had demonstrated that *Apc*-deficient crypt cells fail to migrate out of the crypt due to maintenance of EphB expression, which results in subepithelial transformed clones (Battile et al., 2002). Similarly, crypt-like foci in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ mice expressed EphB3 (Figure 4I) and detached from the surrounding villus epithelium and invaded into the subepithelium, where they formed adenomatous crypts (Figure S1). However, these results seemed to be in apparent contrast to the notion that intestinal cancers arise from Lgr-5-positive crypt stem cells rather than postmitotic differentiated enterocytes (Barker et al., 2009). We therefore asked whether the observed villus crypt-like foci could have regained stem cell properties, formally enabling them to initiate formation of adenomatous crypts. Indeed, *Ikba*-deficient villus crypt-like foci expressed Ascl-2 and SOX9 (Figures 4J and 4K) as well as the stem cell markers *Lgr5* and *Rnf43* (Figures 4L and 4M). These data suggested that NF- κ B mediated enhancement of β -catenin signaling in villus cells allowed a dedifferentiation program and occurrence of crypt stem cells in an aberrant position. Re-expression of the stem cell marker *Lgr5* in these newly formed crypts supported the hypothesis that these cells could initiate adenomatous crypt formation. Interestingly, however, loss of IEC $\text{IkB}\alpha$ alone was not sufficient to enhance transcription of crypt stem cell markers in β -catenin wild-type mice (Figure S1).

Villus Cells Can Dedifferentiate Ex Vivo and Form Spheroids that Have the Capacity to Form Tumors

To confirm that villus cells have the capacity to dedifferentiate and to regain stem cell properties when β -catenin signaling is hyperactivated, we employed a recently developed organoid culture system (Sato et al., 2009) by using IEC from *villin-creER^{T2}/Apc^{lox/lox}* (*Apc^{ΔIEC}*) or *villin-creER^{T2}/Apc^{lox/lox}/K-ras^{G12D/+}* mice (*Apc^{ΔIEC/K-ras^{G12D}}*) mice. Oncogenic K-ras strongly cooperates with deregulated Wnt signaling conferred by *Apc* loss or activating *Ctnnb* mutations (Bennecke et al., 2010; Janssen et al., 2006; Sansom et al., 2006), although it can also induce NF- κ B activation (Perkins, 2012). In contrast to wild-type crypts that maintained dependence on the presence of R-spondin in the culture medium, cultured crypts derived from both *Apc^{ΔIEC}* and *Apc^{ΔIEC/K-ras^{G12D}}* formed spheroids, histologically resembling adenomas, even in the absence of R-spondin because of constitutively active Wnt signaling when crypts were isolated 2 days after a single tamoxifen administration (Figures 5A and 5B). *Apc^{ΔIEC/K-ras^{G12D}}* crypt cells were characterized by markedly elevated NF- κ B activation compared to cells from *Apc* single mutants (Figure 5C). Next, we examined whether villi isolated from *Apc^{ΔIEC}* and *Apc^{ΔIEC/K-ras^{G12D}}* mice would convert into R-spondin-independent spheroids when isolated 2 days after tamoxifen administration. At this time point villi of mice of both genotypes appeared histologically comparable and did not contain any *Lgr5⁺* cells (Figure S2). Although villi from wild-type or *Apc^{ΔIEC}* mice did not survive in culture, villi isolated from *Apc^{ΔIEC/K-ras^{G12D}}* compound mutants formed spheroid structures that could indeed be maintained without

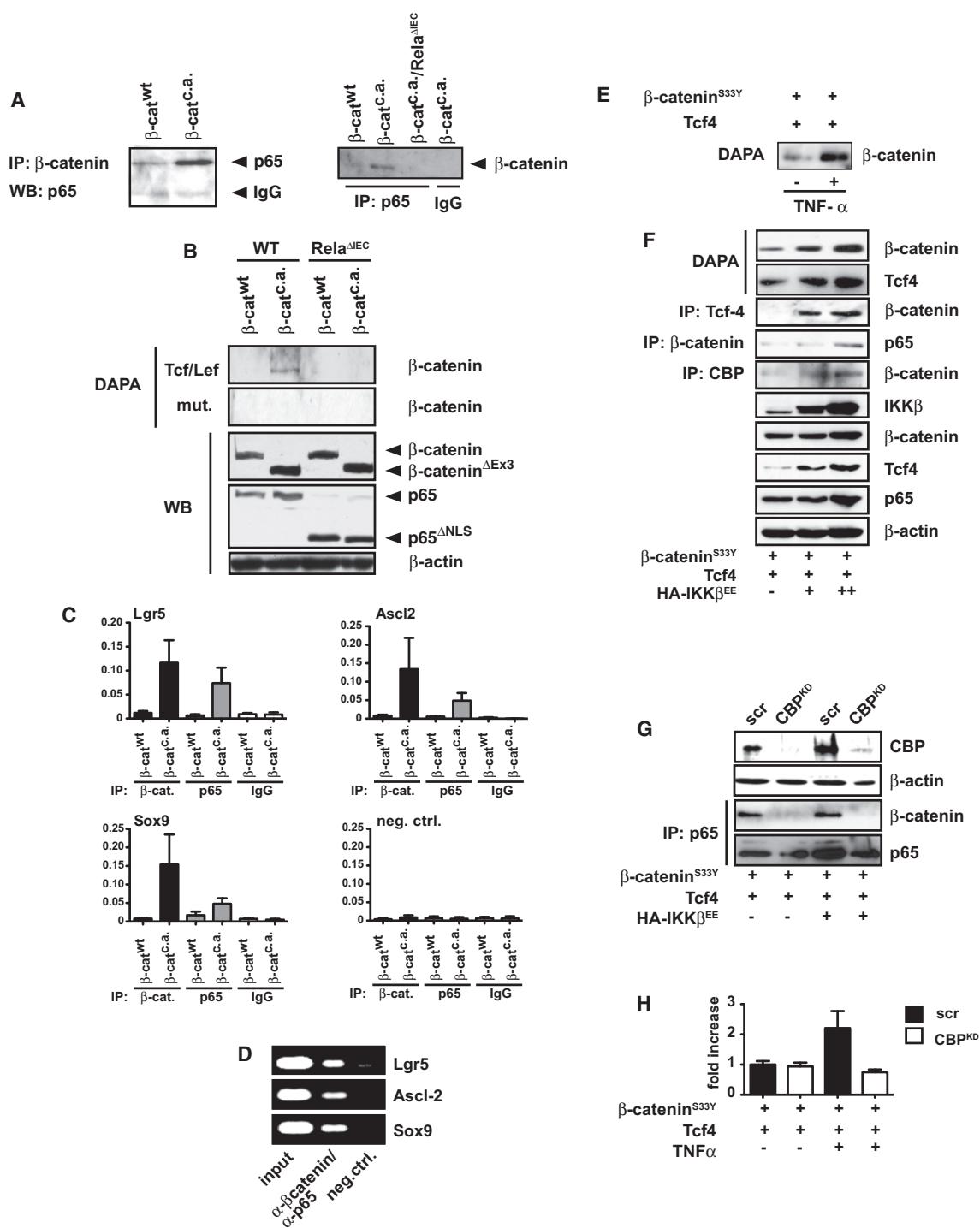


Figure 3. NF-κB and β -Catenin Interact via CBP to Modulate β -Catenin DNA Binding

(A) Immunoblot analysis of proteins that were immunoprecipitated from lysates from WT and β -cat^{c.a.} mice on day 15.

(B) DNA affinity precipitation assay (DAPA) and immunoblot analysis (WB) of lysates prepared from isolated IEC from WT and β -cat^{c.a.}, as well as Rela^{ΔIEC} and β -cat^{c.a.}/Rela^{ΔIEC} mice on day 15.

(C) Quantitative chromatin immunoprecipitation (ChIP) assay on DNA isolated from IEC of WT and β -cat^{c.a.} mice on day 15. Precipitated DNA or 10% of chromatin input was amplified with gene-specific primers amplifying promoter regions, which contain β -catenin/Tcf consensus motifs (Yochum et al., 2007) but no classical κB-binding sites. Data are mean \pm SE; $n \geq 4$.

(D) Re-ChIP assay on DNA isolated from IEC of a β -cat^{c.a.} mouse on day 15 with a β -catenin antibody for the first precipitation followed by a second immunoprecipitation after DNA isolation with p65 antibody. In negative control second immunoprecipitation was omitted.

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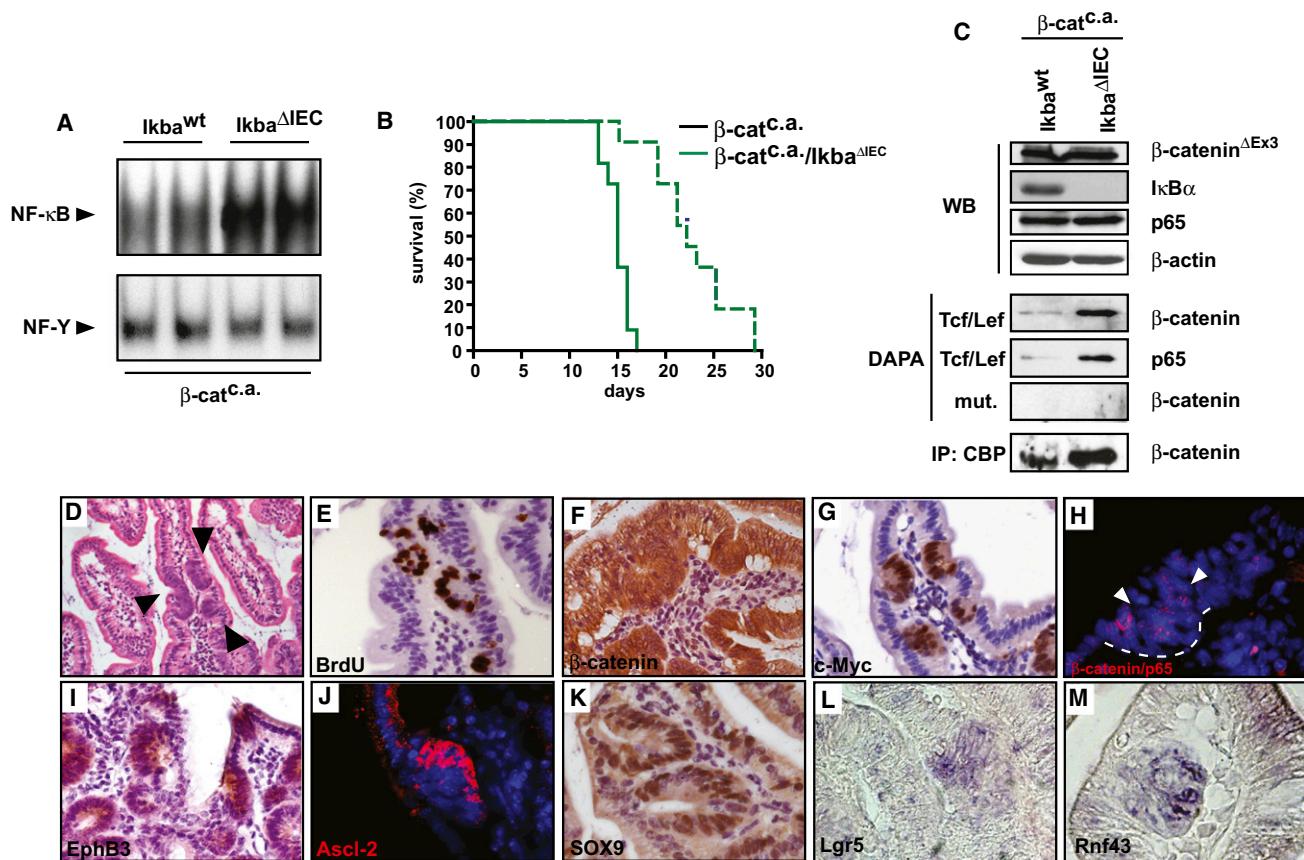


Figure 4. Increased NF-κB Activity Shortens Survival and Induces Dedifferentiation of Villus Cells in β -cat^{c.a.} Mice

(A) NF-κB binding activity in IEC isolated from $I\kappa B\alpha$ -deficient β -cat^{c.a.} mice on day 10.

(B) Kaplan-Meier survival curve of β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} mice (n = 11) and β -cat^{c.a.}/ $I\kappa B\alpha$ ^{lox/WT} mice (n = 12); p < 0.0001 by log rank test.

(C) Immunoblot analysis (WB) and DAPA of lysates prepared from isolated IEC from β -cat^{c.a.} and β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} mice on day 10.

(D) Representative H&E stained section of a β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} villus on day 10. The arrowheads indicate crypt-like cell structures in an aberrant localization.

(E–G) Immunohistochemical analysis of β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} villus crypt-like structures.

(H) Duolink proximity ligation assay demonstrating close proximity of β -catenin and RelA/p65 suggesting interaction in β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} villus crypt-like structures. Dashed white line marks aberrant crypt structure, arrowheads denote regions of signal amplification (red). Nuclei are stained with DAPI.

(I–K) Aberrant villus crypts express stem cell markers EphB3 (I), ASCL-2 (J) and SOX9 (K).

(L and M) In situ hybridization with probes specific for Lgr5 and Rnf43 reveals stem cell properties of β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} villus crypt-like structures.

See also Figure S1.

R-spondin (Figure 5D). Similar results could be obtained with villus cells derived from β -cat^{c.a.}/ $I\kappa B\alpha$ ^{ΔIEC} mice (data not shown). Importantly, the specific IKK β inhibitor ML120B (Nagashima et al., 2006) completely abolished spheroid formation in Apc ^{ΔIEC}/ K -ras^{G12D} villus cells and reduced sphere formation of Apc ^{ΔIEC}/ K -ras^{G12D} crypts by more than 60% (Figures 5D and 5E). Spheroids originating from Apc ^{ΔIEC}/ K -ras^{G12D} villus or crypt cells were morphologically comparable (Figures 5F–5I) and both retained their size over several passages (Figure 5J), supporting the notion that they both comprised cancer stem cells. Indeed,

when we injected Apc ^{ΔIEC}/ K -ras^{G12D} villus-derived spheroids subcutaneously into nude mice, they formed tumors with growth characteristics similar to those of the crypt-derived spheroids (Figure 5K). Tumors from both crypt and villus-derived spheres were highly proliferative, strongly expressed Lgr5 (Figure 5L), and developed independently of spheroid passage number (Figure S2). Lysozyme⁺ Paneth cells were detectable in tumors of either origin (Figure 5L), further underscoring the pluripotent potential of spheroids derived from both crypts and villi. When we separated Lgr5⁺ and Lgr5⁻ cells from villus-derived spheres,

(E and F) DNA affinity precipitation assay (DAPA, [E]), and immunoblot analysis (WB) (F) of protein lysates prepared from 293 cells transfected with β -catenin^{S33Y} and Tcf-4, that were either stimulated with TNF- α (10 ng/ml) for 60 min (E) or cotransfected with increasing amounts (0, 0.5, and 1 μ g) of IKK β ^{EE} (F).

(G) Immunoblot analysis of protein lysates prepared from 293 cells transfected with β -catenin^{S33Y} and Tcf-4 along with scramble siRNA control or a CBP targeting siRNA pool.

(H) Relative luciferase activity with a Tcf/Lef reporter (TOPFLASH) in protein lysates prepared from 293 cells transfected with β -catenin^{S33Y} and Tcf-4 along with scramble siRNA control or a CBP targeting siRNA pool untreated or stimulated with TNF- α (10 ng/ml) for 8 hr. Data are mean \pm SE; n \geq 3.

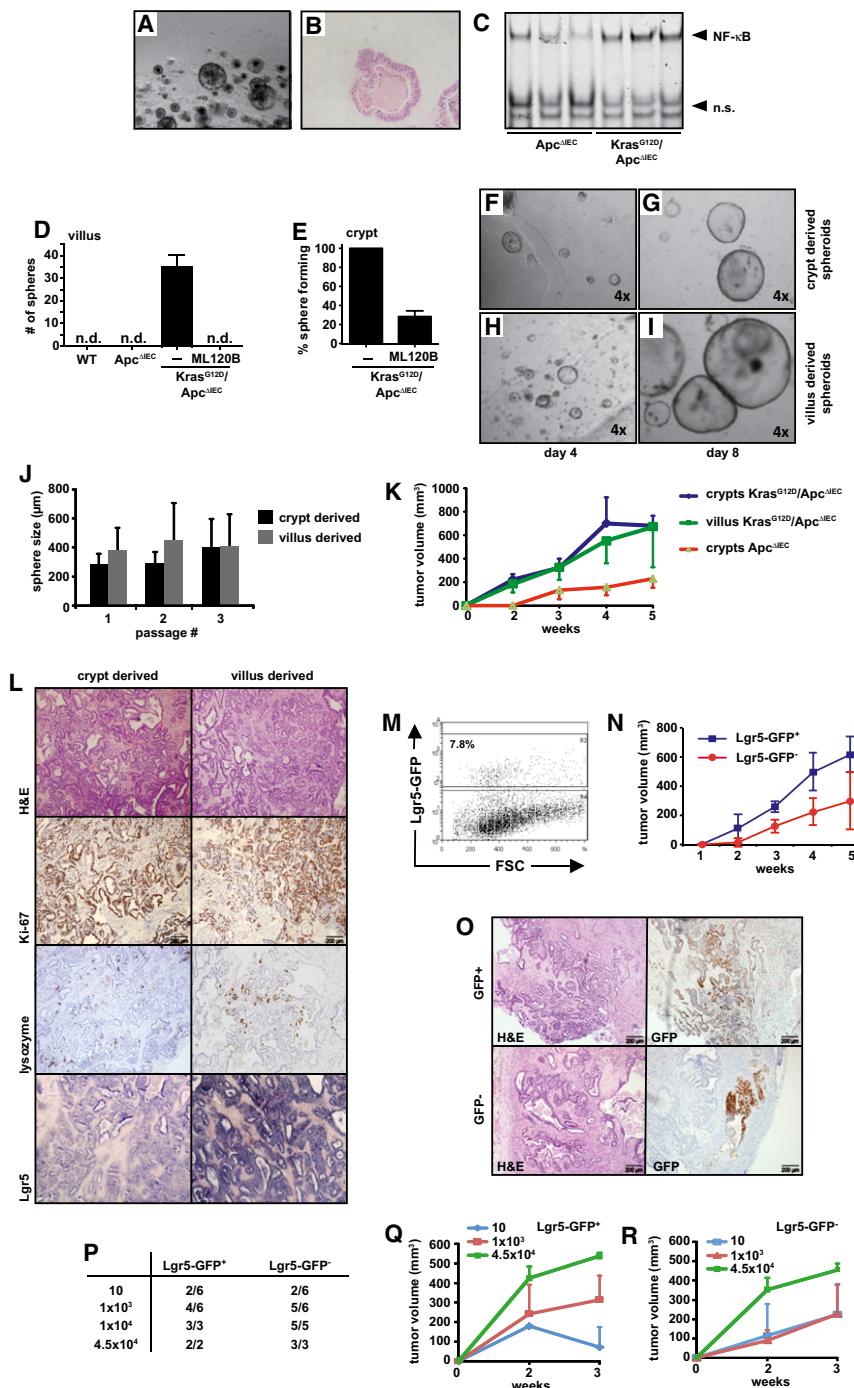


Figure 5. Oncogenic K-ras Cooperates with Wnt Signaling to Dedifferentiate Villus Cells into Intestinal Tumor Stem Cells in a NF-κB Dependent Manner

(A and B) Morphology of R-spondin-independent spheroids generated of crypts from *Apc^{ΔIEC}* mice. (C) Elevated NF-κB activity in crypts from *Apc^{ΔIEC}/K-ras^{G12D}* compared to *Apc^{ΔIEC}*.

(D) Quantification of R-spondin-independent spheroids derived from villi of mice of indicated genotypes in the presence or without the specific IKK β inhibitor ML120B (n = 5/genotype; n.d.: not detectable).

(E) Inhibition of crypt-derived spheroids by ML120B.

(F–I) Morphology of crypt or villus-derived spheroids from *Apc^{ΔIEC}/K-ras^{G12D}* mice after 4 (F and H) and 8 days (G and I) in culture.

(J) Sphere formation assay comparing crypt and villus-derived spheres derived from *Apc^{ΔIEC}/K-ras^{G12D}* mice. Data are mean \pm SE; n \geq 3.

(K) Tumor growth in CD1 athymic mice after subcutaneous injection of crypt or villus-derived spheroids originating from mice of indicated genotypes. Data are mean \pm SE; n \geq 3.

(L) Comparative histological analysis of a representative tumor grown in CD1 athymic mice 22 days after the subcutaneous injection of spheroids originating from *Apc^{ΔIEC}/K-ras^{G12D}* crypts or villi. Lgr5 expression was detected by *in situ* hybridization.

(M) FACS plot of villus-derived spheroids from an *Apc^{ΔIEC}/K-ras^{G12D}/Lgr5-EGFP-IRES-creER^{T2}* compound mutant. Absence of Lgr5 in the GFP $^-$ population was confirmed by PCR (data not shown).

(N) Tumor growth in CD1 athymic mice after subcutaneous injection of equal number of Lgr5 $^+$ or Lgr5 $^-$ cells from villus-derived spheroids plotted in (M). Data are mean \pm SE; n \geq 3.

(O) Immunohistochemical analysis of EGFP indicating expression of Lgr5 in tumors originating from Lgr5 $^+$ or Lgr5 $^-$ villus cells.

(P) Incidence of subcutaneous tumor growth in CD1 athymic mice after injection of 10, 1 \times 10 3 , 1 \times 10 4 or 4.5 \times 10 4 GFP $^+$ (indicating Lgr5 $^+$) or GFP $^-$ (indicating Lgr5 $^-$) cells from villus-derived spheroids.

(Q and R) Tumor growth kinetics in CD1 athymic mice after subcutaneous injection of indicated number of Lgr5 $^+$ (Q) or Lgr5 $^-$ cells (R) from villus-derived spheroids. Data are mean \pm SE. See also Figure S2.

both populations retained the capacity to initiate subcutaneous tumor growth with similar kinetics (Figures 5M and 5N) even when we performed serial transplants over several passages (data not shown), and tumors from initially Lgr5 $^-$ population re-expressed Lgr5 (Figure 5O). Moreover, limiting dilution experiments did not reveal any differences in the ability to initiate subcutaneous tumors between Lgr5 $^+$ and Lgr5 $^-$ cells from villus-derived spheres (Figures 5P–5R). Collectively, these results strongly support the notion that villus cells can reacquire cancer

stem cell properties by dedifferentiation when Wnt signaling is elevated in a NF-κB-dependent manner.

Hyperactivation of β -Catenin Signaling in Lgr5 $^-$ Cells Induces Dedifferentiation and Initiation of Tumorigenesis

Because *villin-creER^{T2}* mice recombine in both Lgr5 $^-$ differentiated and Lgr5 $^+$ stem cells, crypt-like foci in β -cat c,a and β -cat c,a / $Ikb\alpha^{ΔIEC}$ mice could theoretically have originated from the

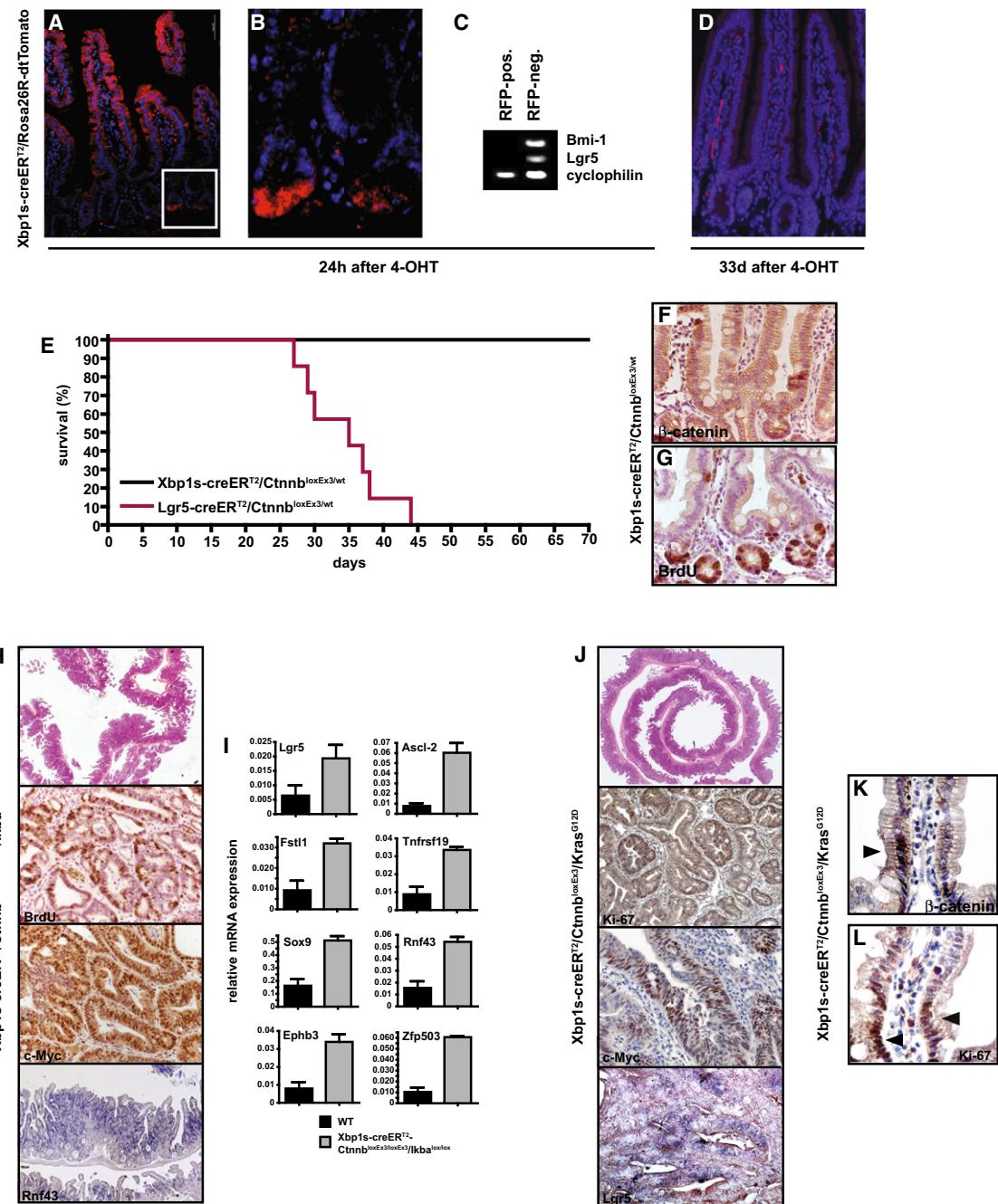
Lgr5⁺ cells at the base of the crypt and migrated upward. Thus, in order to formally prove that dedifferentiated villus cells can initiate tumorigenesis in vivo, we generated a Cre-expressing mouse that allowed recombination in Lgr5⁻ cells only. Based on the observation that splicing and thus activation of the transcription factor X-box-binding protein 1 (XBP1), a key component of the endoplasmatic reticulum (ER) stress response, constitutively occurs under physiologic unchallenged conditions in Lgr5⁻ IEC, but not Lgr5⁺, stem cells (J.H. and G.R.v.d.B., unpublished data, and Figure S3), we hypothesized that *Xbp1s-creER*^{T2} mice would permit recombination specifically in Lgr5⁻ IEC. Indeed, lack of recombination in Lgr5⁺ and Bmi1⁺ stem cells was confirmed by lineage tracing in *Xbp1s-creER*^{T2}-*Rosa26R-dTomato* mice (Figures 6A–6C). Within 24 hr, red fluorescence indicating recombination was detectable in IEC above the crypt villus junction as well as in Paneth cells, a cell type in which XBP splicing confers important functions (Kaser et al., 2008) (Figures 6A and 6B). Importantly, PCR on FACS-sorted RFP-positive and -negative IEC confirmed absence of Lgr5⁺ or Bmi1⁺ expression in RFP⁺ cells. Consequently, 33 days after tamoxifen administration, red fluorescent IEC were completely absent. Lack of recombination by *Xbp1s-Cre* in Lgr5⁺ or Bmi1⁺ stem cells was further functionally confirmed and considered the missing adenomatous transformation or stem cell expansion in mice with single activation of β-catenin only in Lgr5⁻ IEC of *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3/WT} compound mutants (Figures 6E–6G). In contrast, loss of a *Ctnnb* exon 3 in Lgr5⁺ stem cells using *Lgr5-EGFP-IRES-creERT2/Ctnnb*^{loxEx3/WT} mice resulted in massive transformation and death of the animals within 45 days (Figure 6E) similar to the results of previously reported *Bmi1-creER*^{T2}/*Ctnnb*^{loxEx3/WT} mice (Sangiorgi and Capecchi, 2008). However, when β-catenin activation was enhanced by the concomitant loss of IκB α in homozygous *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3/loxEx3}/*IκB α* ^{lox/lox} mice or the simultaneous activation of oncogenic K-ras in *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3}/*Kras*^{G12D} compound mutants, tumor initiation commenced and adenomatous polyps occurred within 30 days (Figures 6H and 6J), recapitulating the phenotype we had observed in the organoid culture system. These polyps were highly proliferative and characterized by elevated NF-κB activation when compared to IEC of β-cat^{c,a} mice (Figure S3). Most importantly tumors re-expressed stem cell markers Lgr5 and Rnf43 (Figures 6H and 6J) and displayed increased expression of genes encoding members of the “stem cell signature” (Figure 6I) providing direct genetic evidence for dedifferentiation of Lgr5⁻ IEC in vivo. In agreement with the lineage tracing data (Figure 6A), expression of nuclear β-catenin and Ki-67 could be observed in cells above the crypt-villus junction 24 hr after tamoxifen administration in mice (Figures 6K and 6L), suggesting that these comprised the cells of origin of tumors in *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3}/*Kras*^{G12D} mice.

DISCUSSION

Cell-type plasticity as it can be observed during epithelial-mesenchymal transition (EMT) has been suggested to be an important prerequisite for the metastatic spread of solid tumors during the tumor progression stage (Polyak and Weinberg,

2009). EMT correlates with β-catenin expression in colorectal cancer and has been associated with dedifferentiation of invading cells (Brabletz et al., 2005). Accordingly, it was recently suggested that dedifferentiation of nonstem cells triggered by signals from the inflammatory microenvironment may also lead to the generation of cancer stem cells (Gupta et al., 2009; Hanahan and Weinberg, 2011). So far, in vivo evidence for the existence of dedifferentiation has been limited to mouse differentiating spermatogonia that can generate germinal stem cells (Barroca et al., 2009) as well as mammary luminal cells that can convert into mammary stem cells upon overexpression of Sox9 and Slug (Guo et al., 2012). In the context of tumorigenesis a subpopulation of basal-like human mammary epithelial cells recently was shown to spontaneously convert into cancer-stem-cell-like cells in vitro (Chaffer et al., 2011). Here, we demonstrate in a genetic model of intestinal tumor initiation that epithelial nonstem cells can re-express stem cell markers and can be converted into tumor-initiating cells. This phenomenon is strictly dependent on the degree of Wnt activation and can only be observed when Wnt signaling is markedly elevated. Two models for the histopathogenesis of colorectal cancer have been proposed: a “top-down” model suggesting that dysplastic cells spread laterally and downward to form new crypts (Shih et al., 2001) and a “bottom-up” model that is based on transformation and expansion of crypt stem cells (Preston et al., 2003). Recently, it was demonstrated that Lgr5⁺ cells comprise the tumor-initiating cell population (Barker et al., 2009), whereas they can be repopulated by Bmi1⁺ cells after tissue damage (Tian et al., 2011). Because under physiological circumstances these cells reside exclusively at the bottom of intestinal crypts (Barker et al., 2007), the “bottom-up” model seemed to represent the prevailing concept. We now provide genetic evidence that Lgr5⁻ enterocytes have the potential to dedifferentiate and to reacquire stem cell properties including Lgr5 expression lending support for a “top-down” model. Thus, we suggest that these models do not exclude each other and that tumor-initiating mutations can occur in both Lgr5⁺ crypt stem cells or in more differentiated Lgr5⁻ cells, as long as these initially negative cells dedifferentiate and re-express Lgr5 (Figure 7).

Reprogramming of differentiated cells into induced pluripotent cells (iPS) ex vivo can be achieved through the combined activation of selective transcription factors (Jaenisch and Young, 2008). Thus, it is reasonable that IEC also may have the capacity to dedifferentiate in vivo as long as the required transcriptional program for such process, in this case Wnt signaling, is strongly enough activated. Our results agree with previous findings and show that neither in vitro nor in vivo single stabilization of β-catenin nor loss of *Apc* alone is sufficient to drive dedifferentiation (Barker et al., 2009). However, concomitant activation of cooperating oncogenes, such as K-ras, as well as cytokine-triggered activation of NF-κB enhances β-catenin/Tcf-mediated transcriptional activity that provides initial nonstem cell IEC with tumor stem cell properties. This may suggest that induction of a single pathway may be sufficient to induce dedifferentiation toward a tissue-specific stem cell compared to the activation of several factors required for pluripotency (Jaenisch and Young, 2008). However, we cannot entirely rule out additional direct



(legend continued on next page)

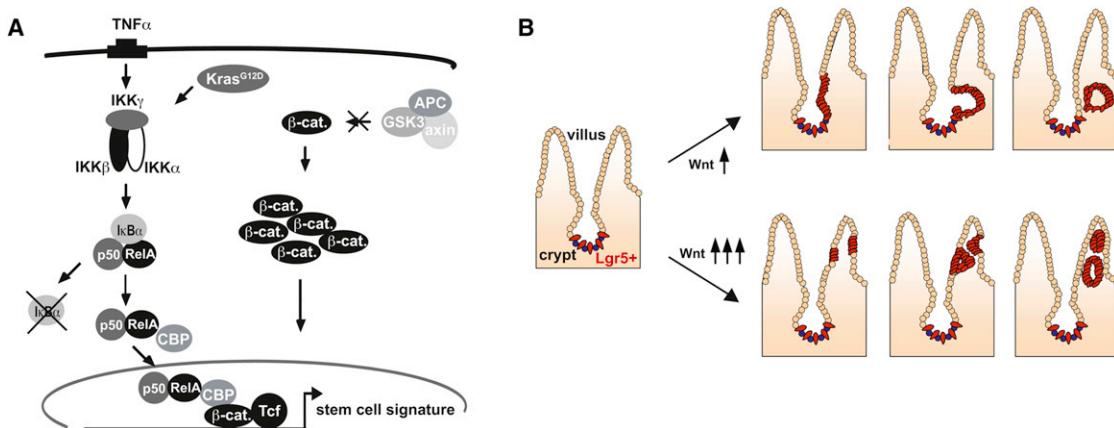


Figure 7. “Bottom-Up” and “Top-Down” Models of Intestinal Tumorigenesis Do Not Exclude Each Other

(A) Model summarizing the proposed signaling mechanism in IEC: TNF α or oncogenic K-ras enhance β -catenin activation through induction of canonical NF- κ B activation, which leads to recruitment of CBP and binding to β -catenin/Tcf to enhance transcription of Wnt-dependent stem cell genes.

(B) Schematic presentation of two possible ways of polyp formation that depend on the extent of Wnt activation: in case of modest Wnt activation caused by loss of *Apc* or *Ctnnb* mutation stem cell expansion and tumorigenesis is initiated only when Lgr5 $^{+}$ crypt stem cells (red) are mutated otherwise cells are shed off. Mutated Lgr5 $^{+}$ cell invaginate around the crypt villus junction and invade into the subepithelium representing the “bottom-up” model. The lower model suggests that initially Lgr5 $^{-}$ cells reacquire Lgr5-expression upon enforced β -catenin signaling, therefore providing these dedifferentiated cells with the same properties as crypt stem cells (i.e., Lgr5 expression) to invade into the subepithelium thus providing evidence that the top-down model and the bottom-up model of adenoma morphogenesis do not exclude each other.

See also Figure S4.

effects of K-ras and NF- κ B on some of the essential stem cell genes considering that NF- κ B has recently been reported to control expression of Ascl-2 (Vlantis et al., 2011).

Various modes of cross-regulation between NF- κ B and β -catenin signaling pathways have been proposed in different tumor cell lines (Deng et al., 2002; Spiegelman et al., 2000). We propose that in primary IEC, NF- κ B enhances Wnt-signaling by binding of RelA/p65 to β -catenin via CBP. Although it was suggested that β -catenin activation inhibits NF- κ B in colon cancer cells (Deng et al., 2002), we show that stabilization of mutant β -catenin is associated with elevated NF- κ B activation in primary IEC in vivo. This is at least in part dependent on TNF α that acts in a paracrine or presumably autocrine manner because most TNF α in intestine originates from IEC (Chen et al., 2003; Guma et al., 2011). Interestingly, not only TNF α but also oncogenic K-ras can induce NF- κ B activation in IEC, and both enhance thereby interaction of β -catenin and CBP (Figure 7 and Figure S4). Accordingly, the capacity of oncogenic K-ras to dedifferentiate *Apc*-deficient villus cells in vitro depends on NF- κ B activation.

Cell-type plasticity during tumor development such as trans-differentiation has also been suggested in other tumor entities including pancreatic cancer (Gidekel Friedlander et al., 2009; Guerra et al., 2007; Wagner et al., 2001). Interestingly, during

pancreatic carcinogenesis this frequently occurs in the context of tissue inflammation and allows tumor initiation from otherwise refractory cell types (Gidekel Friedlander et al., 2009; Guerra et al., 2011; Guerra et al., 2007). Similarly, in ulcerative colitis patients we found massive expansion of *OLFM4*-expressing epithelial cells in aberrant positions (Figure S4). In the absence of oncogenic mutations this may represent a physiological wound healing response and may allow de novo crypt formation thereby providing an attractive possible explanation how large ulcerations can be reconstituted in addition to crypt fission. However, at the same time this suggests that chronic inflammation may increase the number of potentially tumor-initiating cells by dedifferentiation thus providing an additional explanation of why such patients have an elevated risk of developing colon cancer. However, our data may not only be relevant for tumor initiation in the context of chronic inflammation but could also have an impact for the therapy of advanced cancers such as strategies aiming at the eradication of tumor stem cells. Particularly in colorectal tumors harboring both *APC* and *KRAS* mutations we speculate that tumor stem cells could easily be replenished by dedifferentiation. However, inhibition of IKK β /NF- κ B may be a potent strategy to prevent such effects.

In summary, we provide direct genetic evidence that dedifferentiation can lead to the formation of tumor-initiating cells that

(I) Relative mRNA expression of intestinal stem cell markers in IEC of WT and *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3/loxEx3}/*IκBα*^{lox/lox} mice 29 days after first tamoxifen application. Data are mean \pm SE; n \geq 3.

(J) Representative H&E staining, immunohistochemical analysis of Ki-67 and c-myc as well as in situ hybridization of *Lgr5* in the proximal small intestine from a *Xbp1s-creER*^{T2}/*Ctnnb*^{loxEx3}/*K-ras*^{G12D} mouse 29 days after tamoxifen administration.

(K and L) Immunohistochemical staining of β -catenin (K) and Ki-67 (L) in mice 24 hr after tamoxifen administration indicating recombination in cells above the crypt-villus junction.

See also Figure S3.

questions a strict unidirectional model of the stem-differentiation hierarchy but rather lends support to a model of bidirectional interconvertibility (Gupta et al., 2009).

EXPERIMENTAL PROCEDURES

Mice

To delete exon 3 of *Ctnnb* in IEC, we crossed *Ctnnb*^{loxEx3/WT} (Harada et al., 1999) with *villin*-creER^{T2} mice (kindly provided by S. Robine), *Lgr5-EGFP-ires-creER*^{T2} (Barker et al., 2007), or *Xbp1s-creER*^{T2} mice. To delete *Apc* and to activate oncogenic K-Ras in IEC, we crossed mice carrying the conditional *Apc*^{580S} allele (Shibata et al., 1997) or *LSL-K-ras*^{G12D} (Jackson et al., 2001) with *villin*-creER^{T2} mice. *Xbp1s-creER*^{T2} transgenic mice were generated by replacing the venus coding sequence in the pCAX-F-XBP1ΔDBD-venus plasmid (Iwawaki et al., 2004) with the sequence encoding creER^{T2}. *Tnf*^{-/-} and *Rosa26R-tdTomato* reporter mice were purchased from the Jackson Laboratories. All mice were on a mixed C57BL/6 × 129Sv x FVB background, and in all experiments littermate controls were used. Cre-recombinase was induced by five daily oral administrations of 1 mg tamoxifen (Sigma) in an ethanol/sunflower oil mixture. All experiments were performed under the UK Home Office guidelines as well as reviewed and approved by the Regierung von Oberbayern.

Villus Isolation and Propagation

Small intestines were washed with PBS and opened longitudinally. Villi were removed with a glass coverslip, washed in PBS, and centrifuged at 100 g for 3 min to separate villi from single cells. One hundred to 150 villi, mixed with 50 µl of Matrigel (BD Bioscience), were plated in 24-well plates and cultured as described (Sato et al., 2009). For transplantation experiments, 50 spheres (containing around 100 cells/sphere) were suspended in 100 µl Matrigel and injected s.c. into 6-week-old female athymic (CD1) mice.

Protein Analysis

Isolation of enterocytes, immunoblot analysis, immunecomplex kinase assay, and electrophoretic mobility shift assay (EMSA) were performed as described previously (Greten et al., 2004). In DAPA protein lysates were incubated with 2 µg of 5' biotin labeled double-stranded oligonucleotides containing two Tcf/Lef binding sites (5'-CCCTTGATCTTACCCCTTGATCTTAC-3') or a scrambled control oligonucleotide (5'-TTTCCCCCTTGATACCTTCCCCTTGATAC-3') in the presence of excess herring sperm DNA for 90 min at room temperature (RT) prior to pull down with Streptavidin-agarose beads (Pierce). The following antibodies were used in immunoblot analysis: α-β-catenin (Santa Cruz, SC-1496), α-RelA/p65 (SC-372), α-IκBα (SC-371), α-CBP (SC-369), α-IKKβ (Upstate 05-535), α-IKKα (IMG-136A), and α-β-actin (A4700, Sigma).

Histological Procedures and In Situ Hybridization

For alkaline phosphatase staining paraffin sections (3.5 µm) were incubated for 2 hr at RT in nitroblue tetrazolium/5-bromo-4-chloro-3-indolyl phosphate solution. Nuclei were counterstained with Nuclear Fast Red (Vector). Standard immunohistochemical procedures were performed with following antibodies: α-p65 (Neo-Markers, RB-1638), α-c-myc (SC-788), α-β-catenin (SC-1496), α-BrdU (Amersham Bioscience RPN201), α-EphB3 (R&D Systems, AF432), α-ASCL-2 (Aviva Systems Biology, QC6671), α-SOX-9 (Chemicon Millipore, AB 5535). To detect protein interaction on paraffin sections, a Duolink Proximity Ligation Assay in situ kit (Olink Bioscience) was used according to the manufacturer's instructions and in situ hybridization was essentially performed as described previously (Barker et al., 2007).

Chromatin Immunoprecipitation Analysis

ChIP assays were performed with antibodies against β-catenin, RelA/p65, and EGFR (negative control) according to published procedures (Saccani et al., 2003). In brief, IEC were crosslinked with 1% formaldehyde for 10 min at room temperature and quenched by adding glycine (0.125 M final concentration). IEC were homogenized in lysis buffer and chromatin was fragmented by sonication. Lysates were precleared with salmon sperm/protein A agarose (Upstate) for 1 hr. Chromatin IP was performed overnight with 1.5 µg of

antibody and protein G magnetic beads (Active Motif). Precipitates were washed and eluted in TE containing 2% SDS. Crosslinking was reversed for a minimum of 4 hrs at 65 °C and DNA was purified with a QiaAmp DNA Micro Kit (QIAGEN) prior to real-time PCR.

RNA Analysis

Total RNA extraction, cDNA synthesis, real-time PCR and gene expression profiling was performed as described previously (Bennecke et al., 2010). In gene set enrichment analysis (GSEA) we matched 94 "stem cell transcripts" (van der Flier et al., 2009) to all transcripts from the Affymetrix Mouse Genome 430A 2.0 Array, respectively. GSEA software is provided by Broad Institute of MIT and Harvard University (<http://www.broad.mit.edu/gsea>). We acknowledge the use of GSEA software (Subramanian et al., 2005) to validate correlation between molecular pathways signatures in any phenotype of interest. For analysis of gene sets we changed default parameters as follows: permutation number to 1,000; collapse data set to gene symbols if "false"; gene sets smaller than 1 and larger than 2,000 were excluded.

Statistical Analysis

Data are expressed as mean ± SEM. Differences were analyzed by log-rank or Student's t test with Prism4 (GraphPad Software). p values ≤ 0.05 were considered significant.

SUPPLEMENTAL INFORMATION

Supplemental Information includes four figures and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2012.12.012>.

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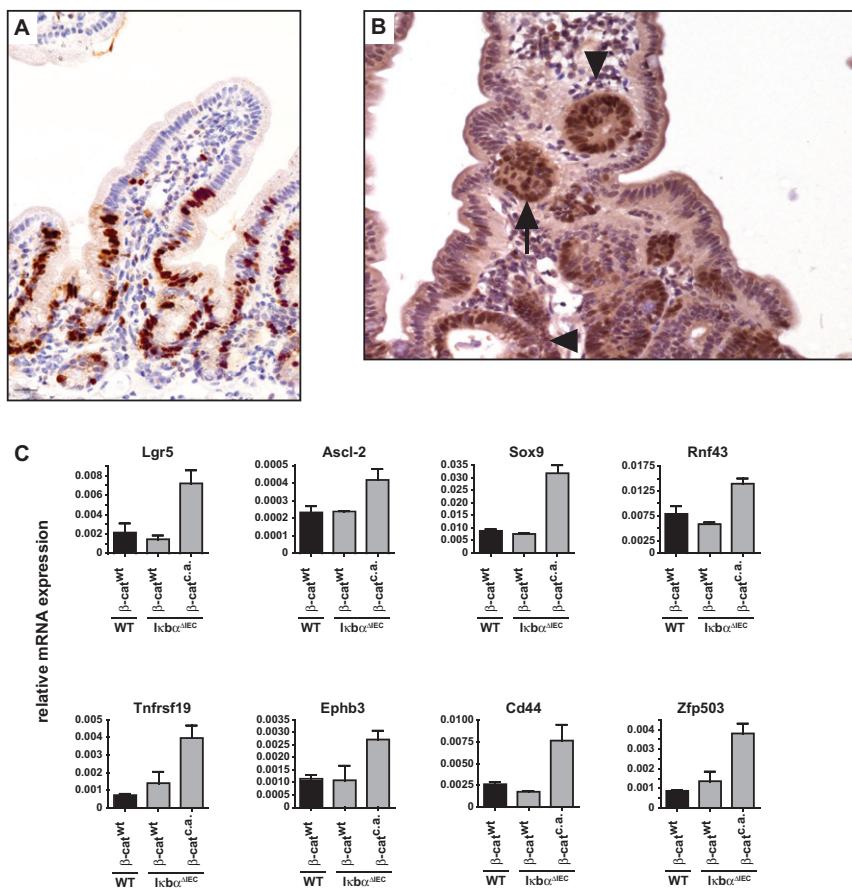


Figure S1. Crypt-Like Structures Can Be Found within 24 Hours in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ Mice, but Loss of Ikba Alone Is Not Sufficient to Upregulate Stem Cell Markers in IEC, Related to Figure 4

(A) Crypt-like structure expressing BrdU in upper villi occur in $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ mice within 24 hr after tamoxifen administration.

(B) Immunohistochemical analysis of c-myc-expressing adenomatous crypts (arrowheads) in the subepithelium of $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ villi. Arrow indicates crypt structure that is in the process of invading into the subepithelium.

(C) Relative mRNA expression of intestinal stem cell markers in IEC of WT, $\text{Ikba}^{\Delta\text{IEC}}$ and $\beta\text{-cat}^{\text{c.a.}}/\text{Ikba}^{\Delta\text{IEC}}$ mice analyzed by Real-Time PCR. Data are mean \pm SE, $n \geq 3$.

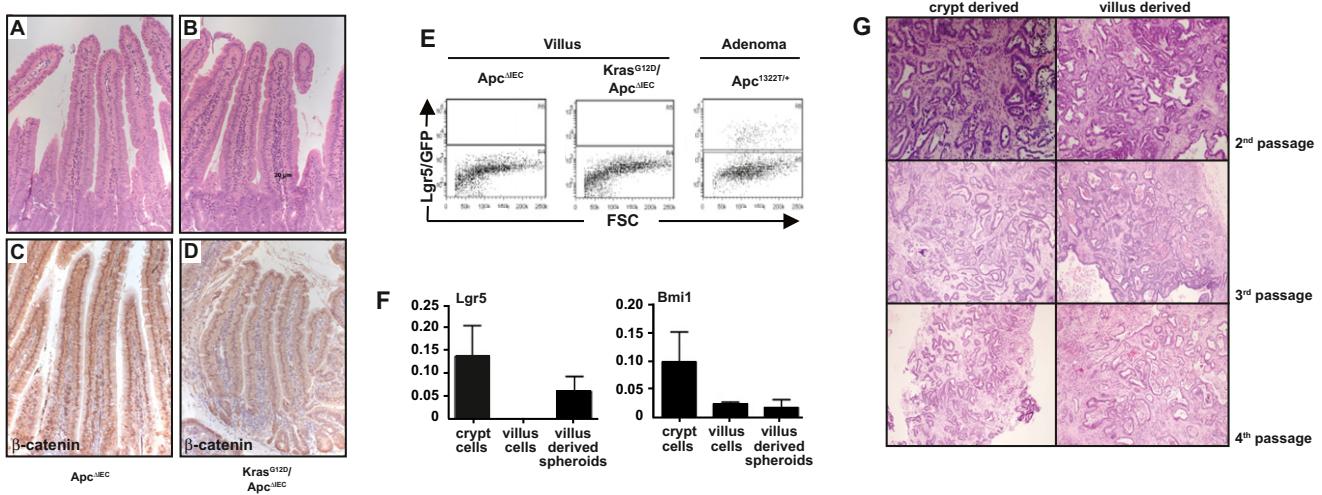


Figure S2. Lack of Lgr5⁺ Cells in In Villus Fractions Used for Organoid Cultures, Related to Figure 5

(A–D) Comparable morphological appearance and nuclear expression of β -catenin (C, D) in *Apc*^{ΔIEC} (A, C) and *Apc*^{ΔIEC}/*K-ras*^{G12D} (B, D) mice 2 days after a single dose of tamoxifen.

(E) FACS analysis confirms absence of Lgr5⁺ cells in villus fractions used for organoid culture. *Apc*^{ΔIEC} and *Apc*^{ΔIEC}/*K-ras*^{G12D} mice had been crossed to *Lgr5-EGFP-IRES-creERT2* animals to allow sorting of Lgr5⁺ cells based on EGFP expression. Adenomas from *Lgr5-EGFP-IRES-creERT2/Apc*^{1322T} mice were used as positive controls.

(F) PCR of Lgr5 and Bmi1 confirms absence of Lgr5 in isolated villus cells and re-expression of Lgr5 in villus-derived spheroids. In contrast, low levels of Bmi1 were detectable in villus preparations, however, these did not further increase in spheroids. Data are mean \pm SE, $n \geq 3$.

(G) Comparable histology of tumors that grew subcutaneously in CD1 athymic mice independently of spheroid passage or whether spheroids were derived from crypts or villus preparations.

Similar results were obtained when villus cells were isolated 6 hr after tamoxifen administration in *Apc*^{ΔIEC}/*K-ras*^{G12D} mice.

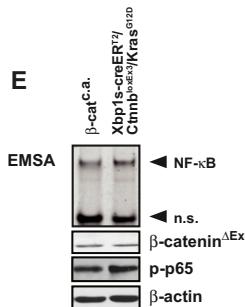
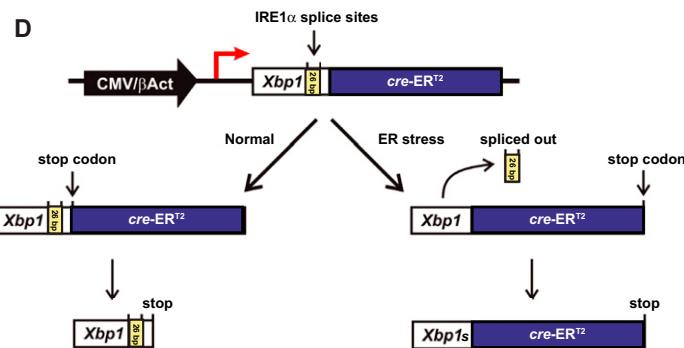
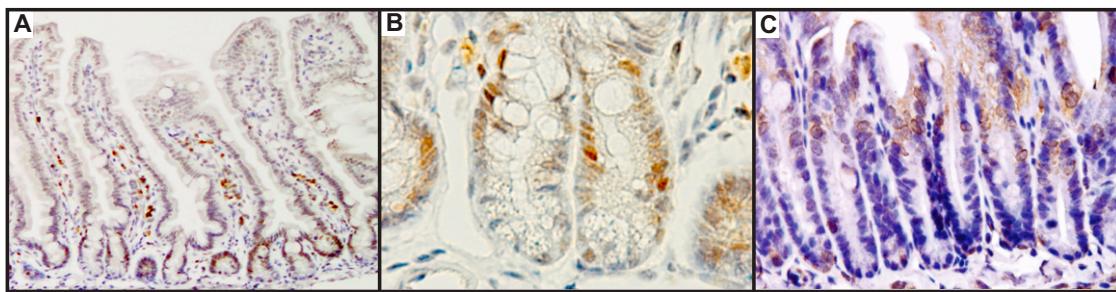


Figure S3. Constitutive Splicing of Xbp in Nonstem Cells Allows Recombination in Lgr5[−] and Bmi1[−] IEC, Related to Figure 6

(A and B) Immunohistochemical analysis of Xbp in WT mice. Note that only IEC known to be Lgr5[−] at the crypt villus junction, in the transit amplifying compartment and Paneth cells stain positive.

(C) Immunohistochemical staining of GFP in unchallenged ER stress-activated indicator mice (ERAI-mice)(Iwawaki et al., 2004) that drive expression of venus (a variant of green fluorescent protein) in response to Xbp splicing. Interestingly, GFP expression in IEC of untreated mice reveals constitutive Xbp splicing. We therefore reasoned that this observation would allow targeted expression of cre-ERT2 in Lgr5[−] IEC, but not in Lgr5⁺ cells.

(D) The construct that was initially used to generate ERAI mice (generously provided by M. Miura) was used to replace the coding sequence of venus with a cre-ERT2 sequence, so cre-ERT2 expression would be achieved only in cells that splice Xbp (C). The fact that Lgr5[−] IEC revealed spontaneous Xbp splicing allowed cre expression without exogenous induction of ER stress.

(E) EMSA and immunoblot analysis demonstrates elevated NF-κB activation and comparable expression of exon3 deleted β-catenin in *Xbp1s-creER72/Ctnnb1oxEx3/KrasG12D* mice compared to *β-cat^{c,a}* mice.

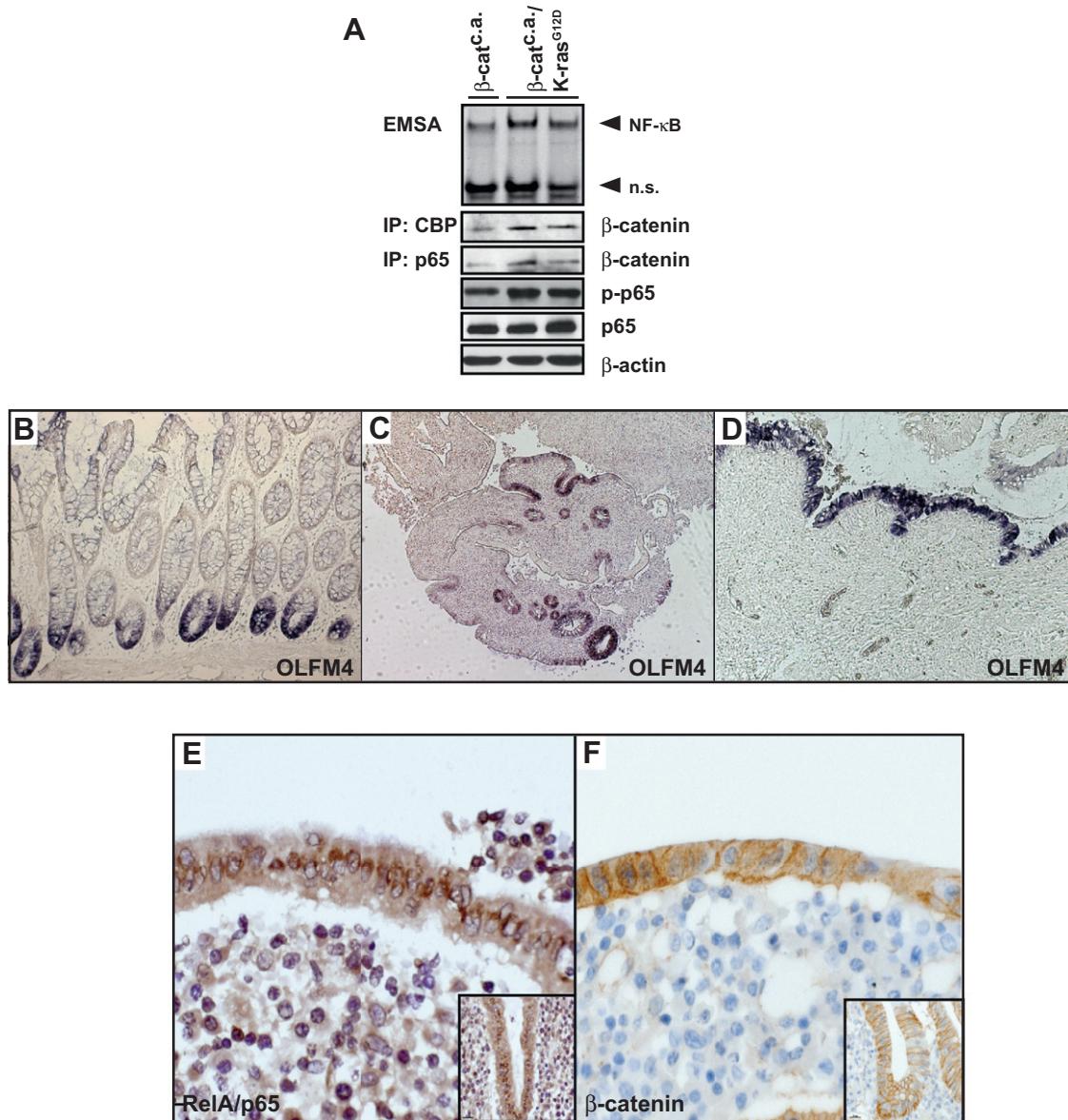


Figure S4. Expansion and Aberrant Localization of Stem Cells in the Colon of Ulcerative Colitis Patients, Related to Figure 7

(A) EMSA and immunoblot analysis demonstrates elevated NF- κ B activation and increased interaction between CBP and β -catenin as well as RelA/p65 and β -catenin in IEC from β -cat^{c.a.}/K-ras^{G12D} mice animals compared to β -cat^{c.a.} mice.

(B-D) In situ hybridization with a probe specific for the stem cell marker OLFM4 in human healthy mucosa (B) and in ulcerative colitis patients (C and D). Whereas in healthy mucosa expression is restricted to cells at the bottom of colonic crypts, during ulcerative colitis expression is expanded and can be found outside of crypts especially in IEC that cover ulcerations.

(E and F) Immunohistochemical staining of RelA/p65 (E) and β -catenin (F) in ulcerative colitis patients and healthy mucosa (small inset).